



NSHE Scholarly and Professional Outside Compensated Services Report

June 2013

Prepared by the Office of Academic and Student Affairs

Board of Regents

Dr. Jason Geddes, Chairman
Mr. Kevin J. Page, Vice Chairman

Dr. Andrea Anderson
Mr. Robert Blakely
Mr. Cedric Crear
Dr. Mark W. Doubrava
Mr. Ron Knecht

Mr. James Dean Leavitt
Mr. Kevin C. Melcher
Dr. Jack Lund Schofield
Ms. Allison Stephens
Mr. Rick Trachok

Mr. Michael B. Wixom

Scott Wasserman, Chief Executive Officer and Special Counsel to the Board of Regents

Officers of the Nevada System of Higher Education

Daniel J. Klaich, Chancellor

Dr. Neal Smatresk, President
University of Nevada, Las Vegas

Dr. Marc Johnson, President
University of Nevada, Reno

Mr. Bart Patterson, President
Nevada State College

Dr. Michael Richards, President
College of Southern Nevada

Dr. Mark Curtis, President
Great Basin College

Dr. Maria Sheehan, President
Truckee Meadows Community College

Dr. Carol Lucey, President
Western Nevada College

Dr. Stephen Wells, President
Desert Research Institute

UNLV

Background

Disclosure, review and approval of Scholarly and Professional Outside Compensated Services (SPOCS) are also required by NSHE policy (NSHE Title 4, Chapter 3, Section 8.7). UNLV acknowledges that outside activities can lead to (a) new and useful products that can have implication for economic development and diversification and (b) the development of new collaborative partnerships that can have important implications for garnering external research funding. In addition, UNLV understands that outside activities can also contribute to individual professional development, increased institutional visibility and reputation, and student educational enrichment.

As individual participation in outside activities increase however, there is potential for actual or perceived conflicts of interest (COI) or conflicts of commitment (COC). A conflict of interest exists when an employee's financial or personal interests/activities compromise personal judgment in management, instruction, research, and other professional activities. A conflict of commitment exists when professional service or research contracted outside the University interferes with the employee's obligations to students, colleagues, and the primary missions of the University. The University is concerned about COI and COS because they may compromise the institution and its mission. While it is appropriate for University employees to be rewarded for their participation in outside activities, they have a fundamental obligation to act in the best interest of the University.

It is critical that the University protect the integrity of instruction and scholarly and research endeavors. Therefore, disclosure and management of potential conflicts of interest and commitment by the University is essential and is also required by federal and state regulations. Evaluation of potential conflicts of interest (COI) and compensated outside services are required by both the federal granting agencies and Nevada System of Higher Education (NSHE) code.*

UNLV employees are required to complete the Outside Compensated Services Disclosure Form (refer to Addendum A) annually as well as anytime throughout the year when circumstances change. Potential conflicts of interest or compensated outside services that are properly disclosed can be adequately managed without detriment to the reputation, integrity, or position of the institution and the individual.

This year, in response to concerns about a lack of clarity about whether faculty outside academic affiliations, including teaching appointments to other institutions, ought to be reported, the Executive Vice President and Provost issued a memorandum clarifying the disclosure policies and a form for disclosure and approval of outside academic affiliations, which are now required of all professional employees. (In future years, this form (Addendum B) will be incorporated into the annual Outside Compensated Services Disclosure form.)

*Federal requirements are detailed in 42 CFR part 50, 45 CFR part 94, grant acceptance requirements, including NSF grant policy manual 510 and investigator disclosure policy 60 F.R. 132. NSHE requirements are detailed in title 4, chapter 3, section 8; title 4, chapter 12, sections 1-8; and title 4, chapter 10, section 1.7 of the NSHE Board of Regents Handbook.

This document serves as the 2012 calendar year report for the University of Nevada, Las Vegas. As required by NHSE (Title 4, Chapter 3, Section 8.15), all COI and COS must be reviewed and approved, managed, or disapproved.

Each NSHE Institution shall publish an annual report regarding compensated outside professional or scholarly service completed by its full-time faculty (aggregate data) and approved by the institution in accordance with the provisions of this section. This report will verify that all potential conflicts of interest have been reviewed and approved in accordance with the provisions of this section. A summary of these reports will be sent to the Board of Regents annually.

Results of Required Disclosures

The 2012 summary for COS and COI disclosures are presented in Table 1. All disclosures were reviewed by the employee's supervisor, Dean/Director, the Vice President, the COS/COI review panel and the Provost. Each section is briefly described below.

1. Number of Faculty Requesting SPOCS: As required by NSHE policy, all requests for SPOCS from full-time faculty must be made in writing prior to starting the professional outside compensated service. Therefore, UNLV's data as reported on this table reflect a comprehensive snapshot of UNLV requests for SPOCS.
2. Total # of Faculty/Prostaff: This is a listing of total number of faculty by unit.
3. Percent of Faculty/Prostaff requesting SPOCS: This percentage is an accurate reflection of those individuals per unit who have requested outside compensated service.
4. Number of COI Reports Submitted: Annual disclosures are required in the fall of each year for all employees. In addition, employees are required to submit a disclosure prior to initiation of a new SPOCS or COI. Three employees were on extended leave and did not submit a COI report. An additional thirty-one employees did not submit a COI report.
5. Number of COI Forms Reviewed at a Level Higher than the Employee's Supervisor: These numbers are the same as the number of faculty/prostaff requesting SPOC because all forms are reviewed by the employee's supervisor, Dean/Director and those requesting SPOC are reviewed by the COI/COS review panel and the Provost.
6. Number of Actual COIs: One reported COI required a management plan.

Table 1 – Summary COI/COS Disclosures UNLV for CY 2012

Administrative Unit	# of Faculty/ Prostaff requesting SPOCS	Total # of Faculty/ Prostaff	% of Faculty/ Prostaff requesting SPOCS	# COI Reports Submitted	# COI Reports Reviewed at a level higher than the employee's supervisor	# Actual COI*
Academic Success Center	6	17	35.3%	17	6	
Advancement	14	57	24.6%	56	14	
Allied Health Sciences, School of	15	29	51.7%	29	15	
Athletics	19	124	15.3%	124	19	
Business, College of ‡1	27	91	29.7%	90	27	
Community Health, School of	5	33	15.2%	33	5	
Dental Medicine, School of	35	75	46.7%	72	35	
Diversity Initiatives	0	4	0.0%	4	0	
Education, College of ‡ 1	30	83	36.1%	82	30	
Educational Outreach	10	44	22.7%	44	10	
Engineering, College of	21	89	23.6%	89	21	
Finance & Business	11	124	8.9%	124	11	
Fine Arts, College of	46	108	42.6%	108	46	
General Counsel	1	8	12.5%	7	1	
Honors	2	3	66.7%	3	2	
Hotel Administration, College of	25	64	39.1%	63	25	
Information Technology, Office of	8	70	11.4%	70	8	
Law, Boyd School of	27	70	38.6%	64	27	
Liberal Arts, College of ‡ 1	62	168	36.9%	167	62	
Libraries	14	59	23.7%	59	14	
Nursing	14	50	28.0%	50	14	
President	6	10	60.0%	10	6	
Provost	3	23	13.0%	23	3	
Research & Grad Studies	12	69	17.4%	69	12	
Sciences, College of	31	166	18.7%	150	31	1
Student Affairs, Division of	28	223	12.6%	223	28	
Urban Affairs	23	85	27.1%	85	23	
Total	495	1946	25.4%	1915	495	

‡ This number reflects staff on extended leave (FMLA, etc.)

Table 2 is an aggregate report of the sources of funding provided for outside compensated services; cases are reported for each university division. Private-sector entities funded 355 COS activities; academic institutions outside of NSHE funded 98 COS activities; Government agencies provided funding for 12 activities; and other sources funded 30 activities.

Table 2 – Summary Funding Sources for CY 2011

Administrative Unit	# of SPOCS Requests	Not Approved	Business	Academic Institution	Government	Other	# SPOCS Requests that are Research Related
Academic Success Center	6		2	3	0	1	**
Advancement	14		9	4	0	1	**
Allied Health Sciences, School of	15		12	3	0	0	**
Athletics	19		17	1	0	1	**
Business, College of	27		13	12	1	1	**
Community Health, School of	5		4	1	0	0	**
Dental Medicine, School of	35		30	5	0	0	**
Diversity Initiatives	0		0	0	0	0	**
Education, College of	30		16	8	0	6	**
Educational Outreach	10		6	4	0	0	**
Engineering, College of	21		14	1	5	1	**
Finance & Business	11		7	1	1	2	**
Fine Arts, College of	46		42	3	1	0	**
General Counsel	1		1	0	0	0	**
Honors	2		1	1	0	0	**
Hotel Administration, College of	25		19	5	0	1	**
Information Technology, Office of	8		1	1	0	6	**
Law, Boyd School of	27		20	6	0	1	**
Liberal Arts, College of	62		49	10	0	3	**
Libraries	14		11	3	0	0	**
Nursing	14		11	3	0	0	**
President	6		3	2	1	0	**
Provost	3		1	2	0	0	**
Research & Grad Studies	12		9	2	0	1	**
Sciences, College of	31		18	8	3	2	**
Student Affairs, Division of	28		21	6	0	1	**
Urban Affairs	23		18	3	0	2	**
TOTAL	495		355	98	12	30	**

** UNLV is in the process of revising the current COI form. One challenge with the current form is that it does not allow for the discrimination of research related SPOCS requests.

Further Information on COI/COS Reporting

This process was designed for a high degree of transparency related to COI and COS within the organization. Individual COI and COS disclosures are part of each employee's personnel file. Further information about COI and COS can be found at: <http://research.unlv.edu/compliance/coi/> and <http://unlv.research.edu/coi/>.

Further information about the Outside Academic Affiliation disclosure and approval process may be found at <http://www.unlv.edu/assets/provost/policies-forms/Outside-Academic-AffiliationDec2012.pdf> and <http://www.unlv.edu/assets/provost/policies-forms/Outside-Academic-Affiliation11.28.docx>

UNR

Number of Actual Conflict of Interest from January 1 , 2012 – December 31 2012

Six UNR employees are under a Management Plan to mitigate any perceived or actual conflict of interest. All six Management Plans have reporting requirements and are monitored jointly by the individual's Department Chair and the Conflict of Interest Designated Official. The Conflict of Interest Committee determined the need for the Management Plan after reviewing each individual's Outside Consulting and Scholarly Activity form submitted to the COI.

- Two UNR employees (1 from College of Business and 1 from College of Engineering) have equity interest in a start-up research company applying for a Small Business Technology Transfer (STTR) grant with plans to issue a sub-award back to UNR.
- One UNR employee (College of Science) has a private company that will be engaged in development and analysis of regional earthquake data for Desert Research Institution (DRI).
- One UNR employee (College of Science) has a software company that will be engaged in developing web-based educational software for K-12 institutions.
- Two University of Nevada School of Medicine (UNSOM) have equity interest in a start-up research company applying for a Small Business Technology Transfer (STTR) grant with plans to issue a sub-award back to UNR.

NSHE Scholarly and Professional Outside Compensated Services Report

Institution: University Of Nevada, Reno

Reporting Period: **January 1 - December 31, 2012**

Instructions:

1. Report aggregated *number (headcount)* of faculty and professional staff (hereafter called faculty/prostaff) who request to perform scholarly and professional outside compensated services (SPOCS) (columns 1-3).
2. Report aggregated number of Conflict of Interest (COI) reports (columns 4-6).

Administrative Unit	1 # of Faculty/ Prostaff requesting SPOCS	2 Total # of Faculty/ Prostaff	3 % of Faculty/ Prostaff requesting SPOCS	4 # COI Reports Submitted	5 # COI Reports Reviewed at a level higher than the employee's supervisor	6 # Actual COI*
Administration & Finance, VP	0	9	0.0%	8	0	
Ag, Biotech & Natrl Resources	6	99	6.1%	73	2	
Assistant VP for Human Resources	0	22	0.0%	21	0	
Business & Finance	0	58	0.0%	53	0	
Business, College of	2	95	2.1%	84	4	1
Police	0	26	0.0%	25	0	
Cooperative Extension Dean	2	124	1.6%	97	0	
Dev & Alumni Relations, VP	1	50	2.0%	40	1	
Education, College of	4	116	3.4%	103	2	
Engineering, College of	4	103	3.9%	92	4	
Enrollment Services	0	83	0.0%	78	0	
Extended Studies	0	48	0.0%	43	0	
Facilities Services	1	220	0.5%	97	0	
Health Sciences, VP	0	105	0.0%	94	0	
Information Technology, VP	2	80	2.5%	71	1	
Intercollegiate Athletics	0	84	0.0%	59	0	
Journalism, School of	1	15	6.7%	15	2	
Liberal Arts, College of	4	232	1.7%	207	7	
Libraries	0	69	0.0%	66	0	
Medicine, School of	5	694	0.7%	577	7	
Planning, Budget, Analysis	0	13	0.0%	12	0	
President's Office	0	35	0.0%	31	0	
Provost, Office of	3	83	3.6%	74	0	
Research, VP	2	83	2.4%	72	1	
Science, College of	9	223	4.0%	199	4	2
Student Life Services	0	96	0.0%	85	0	
Student Services, VP	1	15	6.7%	14	1	
Student Success Services			#DIV/0!			
Totals	47	2880	1.6%	2390	36	3

* On a separate narrative, please describe in general the Conflicts of Interest (column 6) that were identified and how they were resolved.

Instructions:

Report aggregated *number of requests* to perform compensated outside professional services (COPS) including funding sources and those that are research related.

Administrative Unit	1 # of SPOCS Requests	Funding Sources (must equal amount reported in Column 1)					# SPOCS Requests that are Research Related
		Not Approved	Business	Academic Institution	Government	Other	
Administration & Finance, VP							
Ag, Biotech & Natrl Resources	3		1	1	1		1
Assistant VP for Human Resources							

NSHE Scholarly and Professional Outside Compensated Services Report

Business & Finance							
Business, College of	3		3				
Police							
Cooperative Extension Dean							
Dev & Alumni Relations, VP							
Education, College of	2		1		1		
Engineering, College of							
Enrollment Services							
Extended Studies							
Facilities Services	1		1				
Health Sciences, VP	11		8		3		3
Information Technology, VP							
Intercollegiate Athletics	1		1				
Journalism, School of							
Liberal Arts, College of	3		1	1	1		1
Libraries							
Medicine, School of	9		4	4	1		1
Planning, Budget, Analysis							
President's Office							
Provost, Office of							
Research, VP							
Science, College of	13		13				
Student Life Services							
Student Services, VP							
Student Success Services							
Total	46	0	33	6	7	0	6

Definitions:

Conflict of Interest (COI): Conflict of Interest means any outside activity or interest that may adversely affect, compromise or be incompatible with the obligations of an employee to the institution.

Scholarly or Professional Outside Compensated Services (SPOCS): Any outside scholarly or professional service by an faculty or professional staff member within his/her subject matter field and for which he/she is compensated by an outside entity, e.g., consulting.

Research Related: The SPOCS request is research related if it is considered to be part of, or related to, the instructional faculty or professional staff member's research obligation to the institution.

Administrative Unit - Universities: College or Vice President Office

Administrative Unit - DRI: Division

Administrative Unit - Colleges: Vice President's Office reporting more than two SPOCS.

NSC

NSHE Scholarly and Professional Outside Compensated Services Report

Institution: **Nevada State College**

Reporting Period: **January 1 - December 31, 2012**

Instructions:

1. Report aggregated *number (headcount)* of faculty and professional staff (hereafter called faculty/prostaff) who request to perform scholarly and professional outside compensated services (SPOCS) (columns 1-3).
2. Report aggregated number of Conflict of Interest (COI) reports (columns 4-6).

Administrative Unit	1	2	3	4	5	6
Administrative Unit	# of Faculty/ Prostaff requesting SPOCS	Total # of Faculty/ Prostaff	% of Faculty/ Prostaff requesting SPOCS	# COI Reports Submitted	# COI Reports Reviewed at a level higher than the employee's supervisor	# Actual COI*
School of Education	2	9	22.2%	0	0	0
School of Nursing	4	14	28.6%	0	0	0
Department of Humanities	0	6	0.0%	0	0	0
Department of Physical and Life Sciences	0	9	0.0%	0	0	0
Department of Social Sciences	0	7	0.0%	0	0	0
Department of Business Administration	0	1	0.0%	0	0	0

* On a separate narrative, please describe in general the Conflicts of Interest (column 6) that were identified and how they were resolved.

Instructions:

Report aggregated *number of requests* to perform compensated outside professional services (COPS) including funding sources and those that are research related.

Administrative Unit	1	Funding Sources (must equal amount reported in Column 1)					# SPOCS Requests that are Research Related
Administrative Unit	# of SPOCS Requests	Not Approved	Business	Academic Institution	Government	Other	# SPOCS Requests that are Research Related
School of Education	2	0	0	0	0	2	0
School of Nursing	4	0	3	1	0	0	0
Department of Humanities	0	0	0	0	0	0	0
Department of Physical and Life Science	0	0	0	0	0	0	0
Department of Social Sciences	0	0	0	0	0	0	0
Department of Business Administration	0	0	0	0	0	0	0
Total	6	0	3	1	0	2	0

Definitions:

Conflict of Interest (COI): Conflict of Interest means any outside activity or interest that may adversely affect, compromise or be incompatible with the obligations of an employee to the institution.

Scholarly or Professional Outside Compensated Services (SPOCS): Any outside scholarly or professional service by an faculty or professional staff member within his/her subject matter field and for which he/she is compensated by an outside entity, e.g., consulting.

Research Related: The SPOCS request is research related if it is considered to be part of, or related to, the instructional faculty or professional staff member's research obligation to the institution.

Administrative Unit - Universities: College or Vice President Office

Administrative Unit - DRI: Division

Administrative Unit - Colleges: Vice President's Office reporting more than two SPOCS.

CSN

NSHE Scholarly and Professional Outside Compensated Services Report

Institution: College of Southern Nevada
 Reporting Period: **January 1 - December 31, 2012**

Instructions:

1. Report aggregated *number (headcount)* of faculty and professional staff (hereafter called faculty/prostaff) who request to perform scholarly and professional outside compensated services (SPOCS) (columns 1-3).
2. Report aggregated number of Conflict of Interest (COI) reports (columns 4-6).

	1	2	3	4	5	6
Administrative Unit	# of Faculty/ Prostaff requesting SPOCS	Total # of Faculty/ Prostaff	% of Faculty/ Prostaff requesting SPOCS	# COI Reports Submitted	# COI Reports Reviewed at a level higher than the employee's supervisor	# Actual COI*
Academic Affairs	119	466	25.5%			

* On a separate narrative, please describe in general the Conflicts of Interest (column 6) that were identified and how they were resolved.

Instructions:

Report aggregated *number of requests* to perform compensated outside professional services (COPS) including funding sources and those that are research related.

	1	Funding Sources (must equal amount reported in Column 1)					
Administrative Unit	# of SPOCS Requests	Not Approved	Business	Academic Institution	Government	Other	# SPOCS Requests that are Research Related
Academic Affairs	119						
Total	119	0	86	33	0	0	0

Definitions:

Conflict of Interest (COI): Conflict of Interest means any outside activity or interest that may adversely affect, compromise or be incompatible with the obligations of an employee to the institution.

Scholarly or Professional Outside Compensated Services (SPOCS): Any outside scholarly or professional service by an faculty or professional staff member within his/her subject matter field and for which he/she is compensated by an outside entity, e.g., consulting.

Research Related: The SPOCS request is research related if it is considered to be part of, or related to, the instructional faculty or professional staff member's research obligation to the institution.

Administrative Unit - Universities: College or Vice President Office

Administrative Unit - DRI: Division

Administrative Unit - Colleges: Vice President's Office reporting more than two SPOCS.

GBC

NSHE Scholarly and Professional Outside Compensated Services Report

Institution: Great Basin College

Reporting Period: **January 1 - December 31, 2012**

Instructions:

1. Report aggregated *number (headcount)* of faculty and professional staff (hereafter called faculty/prostaff) who request to perform scholarly and professional outside compensated services (SPOCS) (columns 1-3).
2. Report aggregated number of Conflict of Interest (COI) reports (columns 4-6).

	1	2	3	4	5	6
Administrative Unit	# of Faculty/ Prostaff requesting SPOCS	Total # of Faculty/ Prostaff	% of Faculty/ Prostaff requesting SPOCS	# COI Reports Submitted	# COI Reports Reviewed at a level higher than the employee's supervisor	# Actual COI*
GBC Academic & Administrative Faculty	13	144	9.0%	0	0	0

* On a separate narrative, please describe in general the Conflicts of Interest (column 6) that were identified and how they were resolved.

Instructions:

Report aggregated *number of requests* to perform compensated outside professional services (COPS) including funding sources and those that are research related.

	1	Funding Sources (must equal amount reported in Column 1)					
Administrative Unit	# of SPOCS Requests	Not Approved	Business	Academic Institution	Government	Other	# SPOCS Requests that are Research Related
GBC Academic & Administrative Faculty	13	0	5	7	0	1	
Total	13	0	5	7	0	1	0

Definitions:

Conflict of Interest (COI): Conflict of Interest means any outside activity or interest that may adversely affect, compromise or be incompatible with the obligations of an employee to the institution.

Scholarly or Professional Outside Compensated Services (SPOCS): Any outside scholarly or professional service by an faculty or professional staff member within his/her subject matter field and for which he/she is compensated by an outside entity, e.g., consulting.

Research Related: The SPOCS request is research related if it is considered to be part of, or related to, the instructional faculty or professional staff member's research obligation to the institution.

Administrative Unit - Universities: College or Vice President Office

Administrative Unit - DRI: Division

Administrative Unit - Colleges: Vice President's Office reporting more than two SPOCS.

TMCC

NSHE Scholarly and Professional Outside Compensated Services Report

Institution: Truckee Meadows Community College
 Reporting Period: **January 1 - December 31, 2012**

Instructions:

1. Report aggregated *number (headcount)* of faculty and professional staff (hereafter called faculty/prostaff) who request to perform scholarly and professional outside compensated services (SPOCS) (columns 1-3).
2. Report aggregated number of Conflict of Interest (COI) reports (columns 4-6).

Administrative Unit	1	2	3	4	5	6
Administrative Unit	# of Faculty/ Prostaff requesting SPOCS	Total # of Faculty/ Prostaff	% of Faculty/ Prostaff requesting SPOCS	# COI Reports Submitted	# COI Reports Reviewed at a level higher than the employee's supervisor	# Actual COI*
Academic Affairs	51	199	25.6%	0	0	0
Finance and Administration	2	41	4.9%	0	0	0
Presidents Office	3	17	17.6%	0	0	0
Student Services	2	39	5.1%	0	0	0

* On a separate narrative, please describe in general the Conflicts of Interest (column 6) that were identified and how they were resolved.

Instructions:

Report aggregated *number of requests* to perform compensated outside professional services (COPS) including funding sources and those that are research related.

Administrative Unit	1	Funding Sources (must equal amount reported in Column 1)					# SPOCS Requests that are Research Related
Administrative Unit	# of SPOCS Requests	Not Approved	Business	Academic Institution	Government	Other	# SPOCS Requests that are Research Related
Academic Affairs	51	0	21	14	4	12	16
Finance and Administration	2	0	0	1	0	1	0
Presidents Office	3	0	0	1	1	1	1
Student Services	2	0	0	1	0	1	0
Total	58	0	21	17	5	15	17

Definitions:

Conflict of Interest (COI): Conflict of Interest means any outside activity or interest that may adversely affect, compromise or be incompatible with the obligations of an employee to the institution.

Scholarly or Professional Outside Compensated Services (SPOCS): Any outside scholarly or professional service by an faculty or professional staff member within his/her subject matter field and for which he/she is compensated by an outside entity, e.g., consulting.

Research Related: The SPOCS request is research related if it is considered to be part of, or related to, the instructional faculty or professional staff member's research obligation to the institution.

Administrative Unit - Universities: College or Vice President Office

Administrative Unit - DRI: Division

Administrative Unit - Colleges: Vice President's Office reporting more than two SPOCS.

WNC

NSHE Scholarly and Professional Outside Compensated Services Report

Institution: Western Nevada College

Reporting Period: **January 1 - December 31, 2012**

Instructions:

1. Report aggregated *number (headcount)* of faculty and professional staff (hereafter called faculty/prostaff) who request to perform scholarly and professional outside compensated services (SPOCS) (columns 1-3).
2. Report aggregated number of Conflict of Interest (COI) reports (columns 4-6).

Administrative Unit	1 # of Faculty/ Prostaff requesting SPOCS	2 Total # of Faculty/ Prostaff	3 % of Faculty/ Prostaff requesting SPOCS	4 # COI Reports Submitted	5 # COI Reports Reviewed at a level higher than the employee's supervisor	6 # Actual COI*
PRESIDENT'S OFFICE	0	1	0.0%			
Development	0	1	0.0%			
ACADEMIC & STUDENT AFFAIRS	0	2	0.0%			
ACADEMIC DIVISIONS						
Communications & Fine Arts	0	10	0.0%			
Nursing & Allied Health	2	9	22.2%	0	0	0
Science, Math, & Engineering	1	15	6.7%	0	0	0
Social Sci/Educ/Humanities & Public Srvc.	3	13	23.1%	0	0	0
Technology	0	8	0.0%			
ADMINISTRATIVE DEPARTMENTS	3	27	11.1%			
FINANCE & ADMINISTRATIVE SERVICES	3	30	10.0%	0	0	0
HUMAN RESOURCES & LEGAL SERVICES	0	2	0.0%			
TOTAL	12	118	10.2%	0	0	0

* On a separate narrative, please describe in general the Conflicts of Interest (column 6) that were identified and how they were resolved.

Instructions:

Report aggregated *number of requests* to perform compensated outside professional services (COPS) including funding sources and those that are research related.

Administrative Unit	1 # of SPOCS Requests	Funding Sources (must equal amount reported in Column 1)					# SPOCS Requests that are Research Related
	Not Approved	Business	Academic Institution	Government	Other		
PRESIDENT'S OFFICE	0						
Development	0						
ACADEMIC & STUDENT AFFAIRS	0						
ACADEMIC DIVISIONS							
Communications & Fine Arts	0						
Nursing & Allied Health	2	0	2				0
Science, Math, & Engineering	1	0				1	0
Social Sci/Educ/Humanities & Public Srvc.	3	0	1	1		1	0
Technology	0						
ADMINISTRATIVE DEPARTMENTS	3	0		1		2	0

NSHE Scholarly and Professional Outside Compensated Services Report

FINANCE & ADMINISTRATIVE SERVICES	3	0	2	1	0	0	0
HUMAN RESOURCES & LEGAL SERVICES	0						
Total	12	0	5	3	0	4	0

Definitions:

Conflict of Interest (COI): Conflict of Interest means any outside activity or interest that may adversely affect, compromise or be incompatible with the obligations of an employee to the institution.

Scholarly or Professional Outside Compensated Services (SPOCS): Any outside scholarly or professional service by an faculty or professional staff member within his/her subject matter field and for which he/she is compensated by an outside entity, e.g., consulting.

Research Related: The SPOCS request is research related if it is considered to be part of, or related to, the instructional faculty or professional staff member's research obligation to the institution.

Administrative Unit - Universities: College or Vice President Office

Administrative Unit - DRI: Division

Administrative Unit - Colleges: Vice President's Office reporting more than two SPOCS.

DRI

NSHE Scholarly and Professional Outside Compensated Services Report

Institution: Desert Research Institute

Reporting Period: **January 1 - December 31, 2012**

Instructions:

1. Report aggregated *number (headcount)* of faculty and professional staff (hereafter called faculty/prostaff) who request to perform scholarly and professional outside compensated services (SPOCS) (columns 1-3).
2. Report aggregated number of Conflict of Interest (COI) reports (columns 4-6).

Administrative Unit	1	2	3	4	5	6
Administrative Unit	# of Faculty/ Prostaff requesting SPOCS	Total # of Faculty/ Prostaff	% of Faculty/ Prostaff requesting SPOCS	# COI Reports Submitted	# COI Reports Reviewed at a level higher than the employee's supervisor	# Actual COI*
Administration	0	34	0.0%	0	0	0
Division of Atmospheric Sciences	1	55	1.8%	0	0	0
Division of Earth and Ecosystem Sciences	3	52	5.8%	0	0	0
Division of Hydrologic Sciences	2	59	3.4%	0	0	0
Total	6			0	0	0

* On a separate narrative, please describe in general the Conflicts of Interest (column 6) that were identified and how they were resolved.

Instructions:

Report aggregated *number of requests* to perform compensated outside professional services (COPS) including funding sources and those that are research related.

Administrative Unit	1	Funding Sources (must equal amount reported in Column 1)					# SPOCS Requests that are Research Related
Administrative Unit	# of SPOCS Requests	Not Approved	Business	Academic Institution	Government	Other	# SPOCS Requests that are Research Related
Administration	0	0	0	0	0	0	0
Division of Atmospheric Sciences	1	0	0	0	0	1	0
Division of Earth and Ecosystem Sciences	5	0	1	0	2	2	2
Division of Hydrologic Sciences	2	0	0	2	0	0	0
Total	8	0	1	2	2	3	2

Definitions:

Conflict of Interest (COI): Conflict of Interest means any outside activity or interest that may adversely affect, compromise or be incompatible with the obligations of an employee to the institution.

Scholarly or Professional Outside Compensated Services (SPOCS): Any outside scholarly or professional service by an faculty or professional staff member within his/her subject matter field and for which he/she is compensated by an outside entity, e.g., consulting.

Research Related: The SPOCS request is research related if it is considered to be part of, or related to, the instructional faculty or professional staff member's research obligation to the institution.

Administrative Unit - Universities: College or Vice President Office

Administrative Unit - DRI: Division

Administrative Unit - Colleges: Vice President's Office reporting more than two SPOCS.